

## ANNEX H

### LOCAL MATTERS (SASKATCHEWAN)

In Saskatchewan the proposed amendments to National Instrument 23-101 *Trading Rules* are being made under the following provisions of *The Securities Act, 1988 (the Act)*:

- Paragraph 154(1)(h) authorizes the Authority to make regulations prescribing requirements in respect of the books, records and other documents to be kept by market participants, including the form in which and the period for which the books, records and other documents are to be kept.
- Paragraph 154(1)(k) authorizes the Authority to make prescribing requirements with respects to recognized and designated entities.
- Paragraph 154(1)(ee.11) authorizes the Authority to make regulations regulating with respect to any matter necessary to regulate self-regulatory organizations, exchanges, derivatives trading facilities, quotation and trade reporting systems, clearing agencies and trade repositories.
- Paragraph 154(1)(l) authorizes the Authority to make regulations regulating trading or advising in securities or derivatives to prevent trading or advising that it is fraudulent, manipulative, deceptive or unfairly detrimental to investors.
- Paragraph 154(1)(xx) of the Act authorizes the Authority to make regulations prescribing any other matter or thing that is prescribed or authorized by the Act to be prescribed in the regulations.