

Annex G

Local Information

Authority

The following provisions of *The Securities Act, 1988* (the Act) provide FCAA with authority to adopt the Proposed Amendments:

- Paragraph 154(1)(f) of the Act authorizes FCAA to make regulations prescribing requirements respecting the disclosure or furnishing of information to customers and clients, prospective customers and clients other registrants, the public or FCAA by registrants and directors, partners, officers, representatives, employees and security holders of registrants;
- Paragraph 154(1)(u.5) of the Act authorizes FCAA to make regulations prescribing information, documents, records or other materials that are required to be filed or delivered;
- Paragraph 154(1)(v) of the Act authorizes FCAA to make regulations governing investment funds and the advertising, distribution and trading of the securities of investment funds;
- Paragraph 154(1)(ii) of the Act authorizes FCAA to make regulations respecting the media, format, preparation, form, content, execution, certification, dissemination and other use, filing and review of all documents required pursuant to or governed by the Act, and the regulations and all documents determined by the regulations to be ancillary to the documents;