

## ANNEX D

### LOCAL MATTERS

#### *Authority for Amendments*

The following provisions of *The Securities Act, 1988* (Saskatchewan) (the Act) provide the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) with the authority to adopt the Proposed Amendments:

- Paragraph 154(1)(oo) of the Act authorizes the Authority to make regulations exempting any person, company, trade or security from all or any provision of the Act or the regulations, including prescribing any terms or limitations on an exemption and requiring compliance with those terms or limitations;
- Paragraph 154(1)(oo.1) of the Act authorizes the Authority to make regulations prescribing circumstances and conditions for the purpose of an exemption to clause (oo);
- Paragraph 154(1)(u.5) of the Act authorizes the Authority to make regulations prescribing information, documents, records or other materials that are required to be filed or delivered;
- Paragraph 154(1)(v) of the Act authorizes the Authority to make regulations governing investment funds and the advertising, distribution and trading of the securities of investment funds, including prescribing the form and contents of reports to be filed by the management company or distributors of an investment fund;
- Paragraph 154(1)(ii) of the Act authorizes the Authority to make regulations respecting the media, format, preparation, form, content, execution, certification, dissemination and other use, filing and review of all documents required pursuant to or governed by the Act, and the regulations and all documents determined by the regulations to be ancillary documents;