# In the Matter of The Securities Act, 1988

#### and

## In the Matter of Gregory Todd Whiteside Megan Whiteside

### **Sanctions Order**

WHEREAS, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

**AND WHEREAS**, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the Hearing Panel in relation to this matter is a decision of the Authority;

**AND WHEREAS** the Hearing Panel held a hearing on February 6, 2013 into the allegations and claims against Gregory Todd Whiteside and Megan Whiteside (collectively, the Respondent) as set out in the Statement of Allegations of Staff of the Authority dated November 1, 2012;

**AND WHEREAS** the Hearing Panel issued a decision dated April 27, 2017 (the Decision):

AND WHEREAS this Order reflects the operative provisions of the Decision;

## IT IS HEREBY ORDERED THAT:

- 1. Pursuant to clause 134(1)(a) of *The Securities Act, 1988* (the Act), the exemptions under Saskatchewan securities laws do not apply to the Respondents for a period of five years from April 27, 2017 up to and including April 26, 2022, except in regards to securities issued to the Respondents in their personal capacity by issuers who have no security holders other than the Respondents;
- 2. Pursuant to clause 134(1)(d) of the Act, the Respondents shall cease trading in any securities or exchange contracts in Saskatchewan on behalf of residents of Saskatchewan for a period of five years from April 27, 2017 up to and including April 26, 2022;
- 3. Pursuant to clause 134(1)(e) of the Act, the Respondents shall cease advising residents of Saskatchewan with respect to securities or exchange contracts for a period of five years from April 27, 2017 up to and including April 26, 2022;
- 4. Pursuant to clause 134(1)(h) of the Act, the Respondents shall:

- a. Resign any position that they hold as directors or officers of a registrant, an investment fund manager or any issuer whose securities are not wholly-owned by the Respondents, for a period of five years from April 27, 2017 up to and including April 26, 2022;
- b. Be prohibited from serving as a director or holding office of a registrant, an investment fund manager or any issuer whose securities are not wholly-owned by the Respondents for a period of five years from April 27, 2017 up to and including April 26, 2022;
- c. Not be employed by an issuer, registrant or investment fund manager in a capacity that requires or entitles them to trade or advise in securities for a period of five years from April 27, 2017 up to and including April 26, 2022;
- 5. Pursuant to section 135.1 of the Act, each of the Respondents shall pay an administrative penalty of \$10,000 to the Authority; and
- 6. Pursuant to section 161 of the Act, the Respondents shall pay the costs relating to the hearing in this matter in the amount of \$1,696.00.

DATED at Regina, Saskatchewan on June 20, 2017.

Derrek Fahl

Chairperson of the Hearing Panel Financial and Consumer Affairs Authority of Saskatchewan