

IN THE MATTER OF
THE SECURITIES ACT, 1988, S.S. 1988, C.S-42.2
AND
IN THE MATTER OF
OPTIONRALLY
TCM INVESTMENTS LTD.

Sanctions Order

WHEREAS, pursuant to section 17 of *The Financial and Consumer Affairs Authority of Saskatchewan Act* (the FCAA Act), the Chairperson of the Financial and Consumer Affairs Authority of Saskatchewan (the Authority) has appointed a panel (the Hearing Panel) to hear this matter;

AND WHEREAS, by virtue of subsection 17(7) of the FCAA Act, a decision or action of the hearing Panel in relation to this matter is a decision of the Authority;

AND WHEREAS the Hearing Panel held a hearing on November 29, 2016 into the allegations and claims against OptionRally and TCM Investments Ltd. (collectively, the Respondents) as set out in the Statement of Allegations of Staff of the Authority dated August 17, 2016;

AND WHEREAS the hearing Panel issued a decision dated March 5, 2020 (the Decision);

AND WHEREAS this Order reflects the operative provisions of the Decision;

IT IS HEREBY ORDERED THAT:

1. Pursuant to clause 134(1)(a) of the Act, the exemptions in Saskatchewan securities laws do not apply to the Respondents;
2. Pursuant to clause 134(1)(d) of the Act, the Respondents shall cease trading in any securities or derivatives in Saskatchewan;
3. Pursuant to clause 134(1)(d.1) of the Act, the Respondents shall cease acquiring securities or derivatives for and on behalf of residents of Saskatchewan;
4. Pursuant to clause 134(1)(e) of the Act, the Respondents shall cease giving advice respecting securities, trades or derivatives in Saskatchewan; and

5. Pursuant to section 135.1 of the Act, the Respondents shall pay an administrative penalty of \$25,000.

Dated at Regina, Saskatchewan, this 13th day of April, 2020.



Gordon Hamilton
Chairperson of the Hearing Panel
Financial and Consumer Affairs Authority of
Saskatchewan