

## Province of Saskatchewan



## Minister's Order

The Minister of Justice and Attorney General, pursuant to section 7 of <i>The Securities Commission</i> (Regulation Procedures) Regulations, approves The Securities Commission (Local Instruments) (LI 25-501) Amendment Regulations, 2020 in accordance with the attached Schedule.
Dated at the City of Regina, the 2 day of March, 2020.
Minister of Justice and Attorney General

(For administrative purposes only)

Authority:

The Securities Commission (Regulation Procedures) Regulations - section 7



#### Province of Saskatchewan

## **Commission Order**

The Financial and Consumer Affairs Authority of Saskatchewan, pursuant to section 154 of *The Securities Act, 1988*, makes *The Securities Commission (Local Instruments) (LI 25-501) Amendment Regulations, 2020* in accordance with the attached Schedule.

Dated at the City of Regina, the \_\_\_\_\_\_ day of \_\_

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Chairperson

Financial and Consumer Affairs Authority of Saskatchewan

(For administrative purposes only)

Authority:

The Securities Act, 1988 - section 154

#### **SCHEDULE**

#### Title

1 These regulations may be cited as *The Securities Commission (Local Instruments) (LI 25-501)*Amendment Regulations, 2020.

#### RRS c S-42.2 Reg 5 amended

2 The Securities Commission (Local Instruments) Regulations are amended in the manner set forth in these regulations.

#### Section 2 amended

- 3 The following clause is added after clause 2(k):
  - "(1) Local Instrument 25-501, entitled Implementation of *The Securities Amendment Act*, 2019 Self-regulatory Organizations, as set out in Part XIII of the Appendix".

#### New Part XIII, Appendix

4 The Appendix is amended by adding the following Part after Part XII:

"PART XIII [Clause 2(l)]

### "LOCAL INSTRUMENT 25-501 IMPLEMENTATION OF THE SECURITIES AMENDMENT ACT, 2019 - SELF-REGULATORY ORGANIZATIONS

#### "Interpretation

- 1 In this local instrument:
  - (a) 'Act' means The Securities Act, 1988;
  - (b) 'Commission regulation' means a regulation made by the Commission pursuant to subsection 154(2) of the Act;
  - (c) 'the regulations' means The Securities Regulations.

APPROVED LEGISLATIVE DRAFTING SECTION

January 28, 2020 - 11;38 a.m.

# SECURITIES COMMISSION (LOCAL INSTRUMENTS) (LI 25-501) AMENDMENT REGULATIONS, 2020

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#### "Self-regulatory Organizations

- 2 The Investment Industry Regulatory Organization of Canada (IIROC) is prescribed as a self-regulatory organization for the purposes of subsection 151.2(2.1) and subsection 151.2(2.3) of the Act.
- 3 The Mutual Fund Dealers Association of Canada (MFDA) is prescribed as a self-regulatory organization for the purposes of subsection 151.2(2.1) and subsection 151.2(2.3) of the Act.

#### "Coming into force

4 These regulations come into force on the day on which they are filed with the Registrar of Regulations".

#### Coming into force

5 These regulations come into force on the day on which they are filed with the Registrar of Regulations.