

## ANNEX C

### LOCAL MATTERS

In Saskatchewan, the Proposed Amendments to Saskatchewan Local Instrument 11-501 *Conflicts of Interest* are being made under the following provisions of *The Securities Act, 1988* (the **Act**):

- Clause 154(1)(gg) of the Act authorizes the Authority to make rules prescribing requirements respecting the ownership, acquisition and retention of securities or derivatives by members of the Commission and any agents, employees or officers of the Commission;
- Clause 154(1)(xx) of the Act authorizes the Authority to make regulations prescribing any other matter or thing that is prescribed or authorized by the Act to be prescribed in the regulations; and
- Clause 154(2) authorizes the Authority to make regulations respecting any matter or thing with respect to which the Authority is authorized pursuant to subsection 154(1).