

ANNEX G

Local Matters – Saskatchewan

Authority for Proposed Amendments:

The following provisions of *The Securities Act, 1988 (Act)* provide the Financial and Consumer Affairs Authority of Saskatchewan (**Authority**) with the authority to make the proposed amendments to National Instrument 31-103 *Registration Requirements, Exemptions and Ongoing Registrant Obligations* and National Instrument 33-109 *Registration Information*:

Paragraph 154(1)(b) of the Act authorizes the Authority to make regulations prescribing requirements respecting applications for registration and the amendment, expiration or surrender of registration, and respecting suspension, reinstatement, cancellation, revocation or termination of registration;

Paragraph 154(1)(c) of the Act authorizes the Authority to make regulations prescribing categories or sub-categories of registrants, classifying registrants into categories or sub-categories and prescribing the conditions of registration or other requirements for registrants or any category or sub-category;

Paragraph 154(1)(d.1) of the Act authorizes the Authority to make regulations prescribing the circumstances in which a person or company or a class of persons or companies is not required to be registered pursuant to section 27 or a person or company or a class of persons or companies is deemed to be registered for the purposes of this Act or the regulations, including the circumstances in which a person or company or a class of persons or companies is registered pursuant to the laws of another jurisdiction respecting trading in securities or derivatives;

Paragraph 154(1)(f) of the Act authorizes the Authority to make regulations prescribing requirements respecting the disclosure or furnishing of information to customers and clients, prospective customers and clients, other registrants, the public or the Commission by registrants and directors, partners, Officers, representatives, employees and security holders of registrants;

Paragraph 154(1)(xx) of the Act authorizes the Authority to make regulations prescribing any other matter or thing that is prescribed or authorized by the Act to be prescribed in the regulations; and

Paragraph 154(1)(yy) of the Act authorizes the Authority to make regulations respecting any matter or thing that the Lieutenant Governor in Council considers necessary or desirable to carry out the purposes of the Act.

Subsection 154(3) of the Act states that the Authority may make regulations falling within, among others, the above categories that are of general or specific application and which may be limited as to time or place.